

## Abstract

The Competition for Authenticated Encryption: Security, Applicability, and Robustness (CAESAR) requires that all hardware implementations of candidate algorithms adhere to the CAESAR Hardware API [1]. The CAESAR Hardware API is supported by a development package which includes VHDL code for universal pre- and post-processors for high-speed and recently also for lightweight implementations. These processors are designed to make a cipher core compliant with the API. In this work we verify that the lightweight package has a smaller area footprint than the high-speed package. We also show that the overhead of using the generic lightweight pre- and post-processors over integrating their functionality into the cipher core is negligible. As part of these case studies, we have developed the first lightweight implementations of KETJE-SR, ASCON-128, and ASCON-128a.

## Introduction and Motivation

- CAESAR evaluates candidates for a final portfolio of new Authenticated Encryption with Associated Data (AEAD) algorithms.
- All candidates must adhere to the CAESAR hardware (HW) Application Programming Interface (API).
- The HW API is one component which enables a fair comparison among algorithms.
  - Independent FIFO inputs for public data (PDI) and secret data (SDI) and FIFO output (DO).
  - In-band signaling for commands and data types using a simple protocol.
- CAESAR HW API is supported by an implementer's guide and development package [2].
  - Includes VHDL code for high-speed (HS) and lightweight (LW) implementations.
  - Pre- and PostProcessor separate protocol from cryptographic algorithm.
  - Bypass FIFO stores and passes header information to PostProcessor.
- It is generally assumed that having generic pre-and post-processors increases the area consumption over merging their functionality with the cipher cores.

## Differences between HS vs. LW Packages

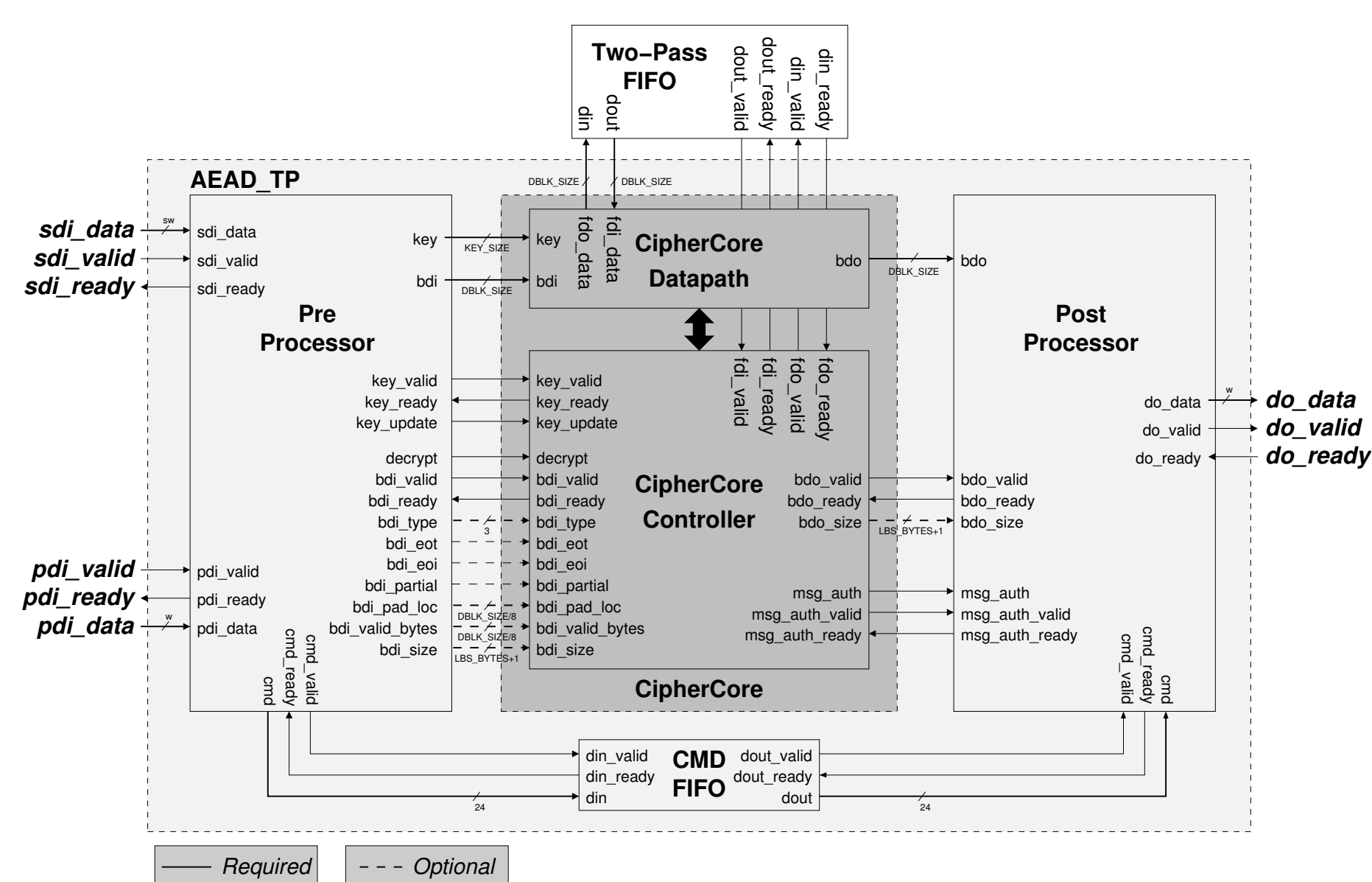
### High-Speed

- Supports bus width  $32 \leq w \leq 256$  in multiples of 8.
- PreProcessor expands PDI and SDI data to full block size for CipherCore.
- PreProcessor stores one block of PDI and SDI data.
- PreProcessor contains universal padding unit.
- Tag comparison has to be performed in CipherCore.

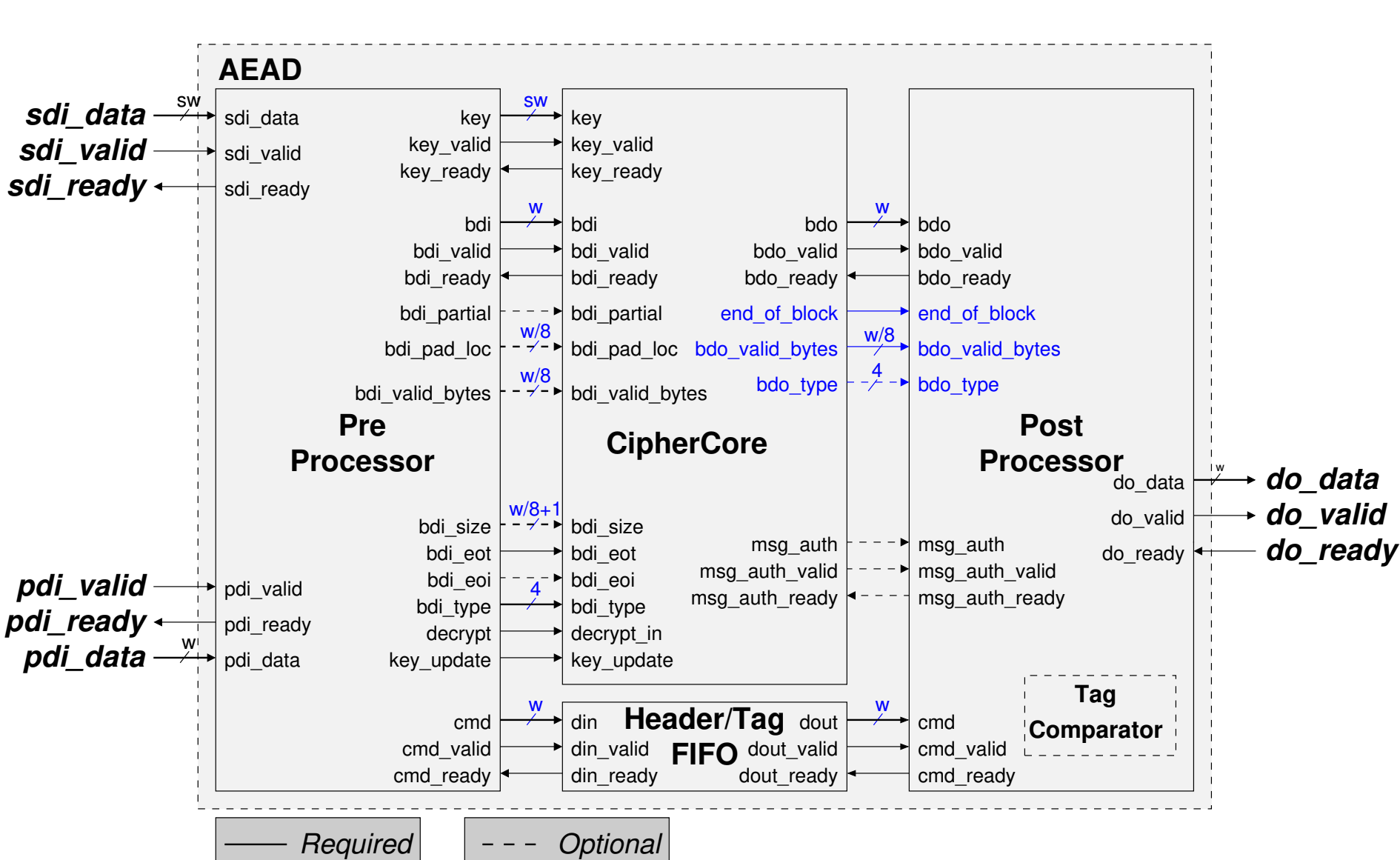
### Lightweight

- Supports bus width  $w$  of 8, 16, and 32.
- PreProcessor, CipherCore, Bypass FIFO, and PostProcessor have equal bus width.
- PreProcessor has no data storage.
- Assumes padding is performed in CipherCore.
- PostProcessor supports tag comparison.

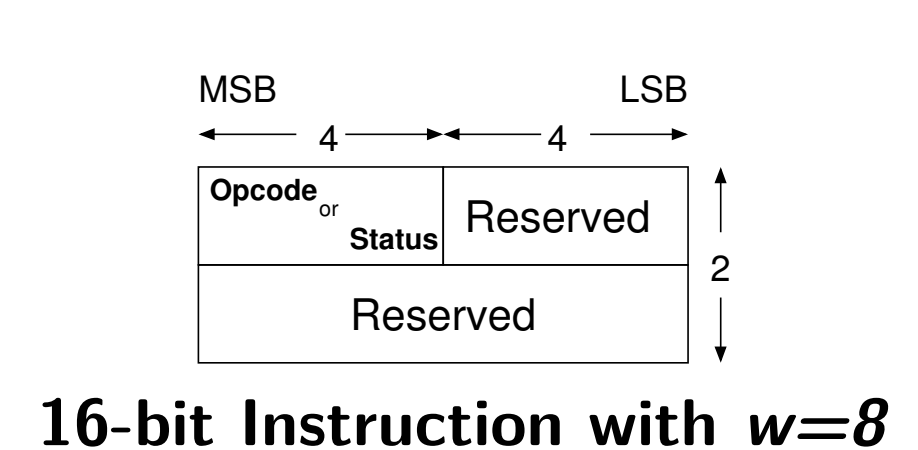
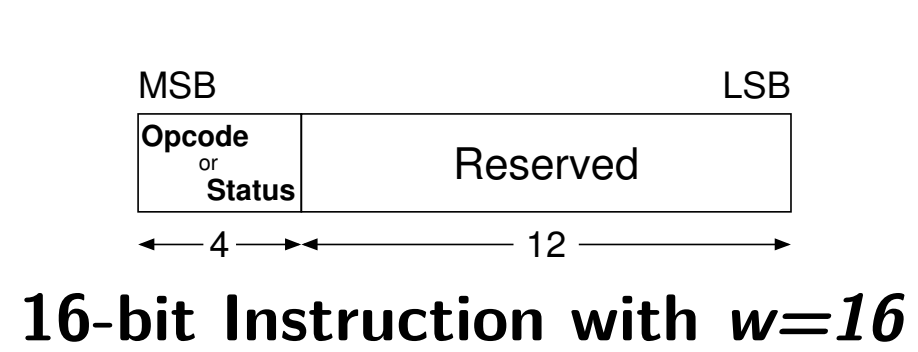
## CAESAR High-Speed Block Diagram



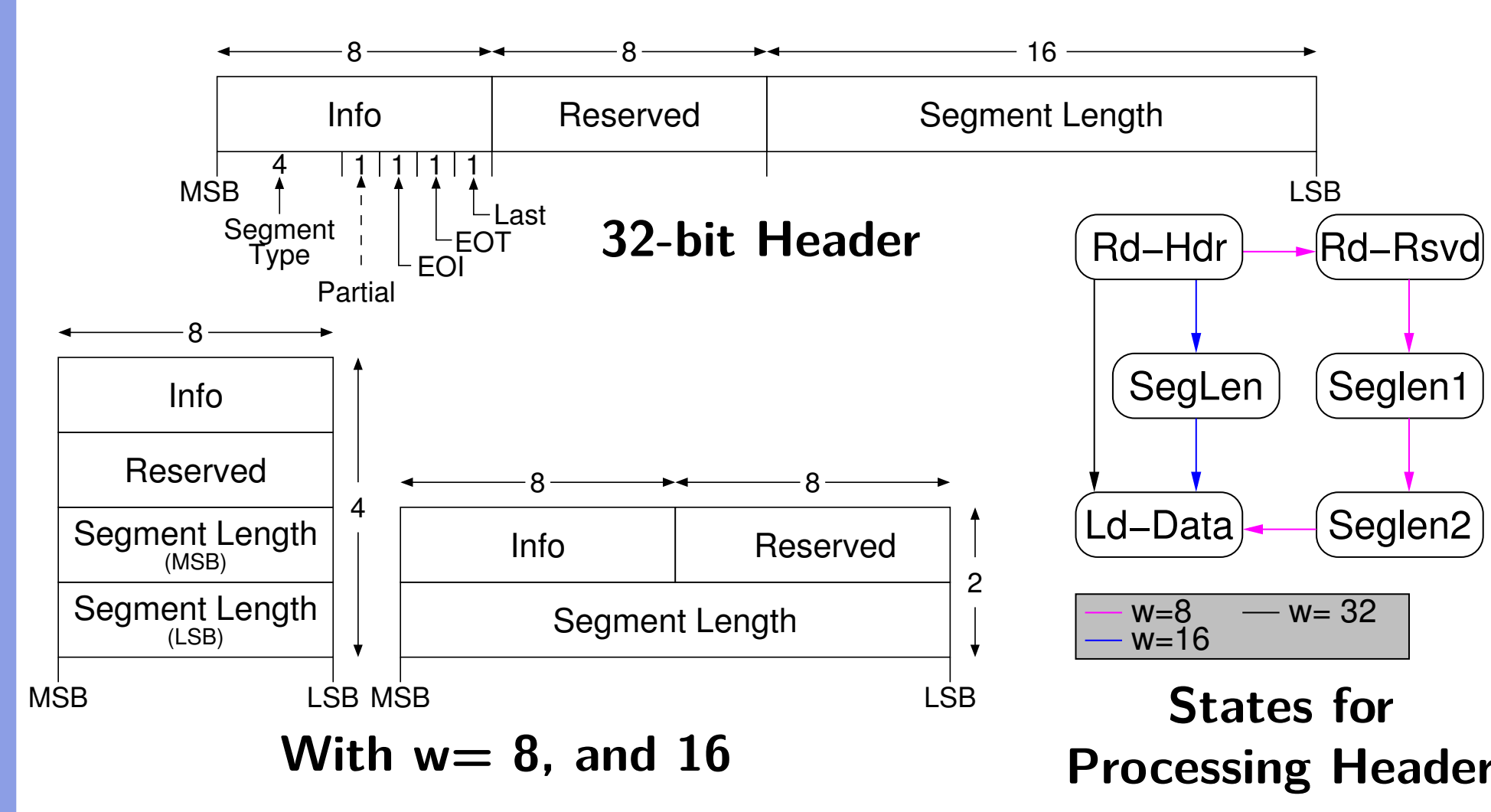
## CAESAR Lightweight Block Diagram



## Protocol: Instruction



## Protocol: Segment Header



## Case Study

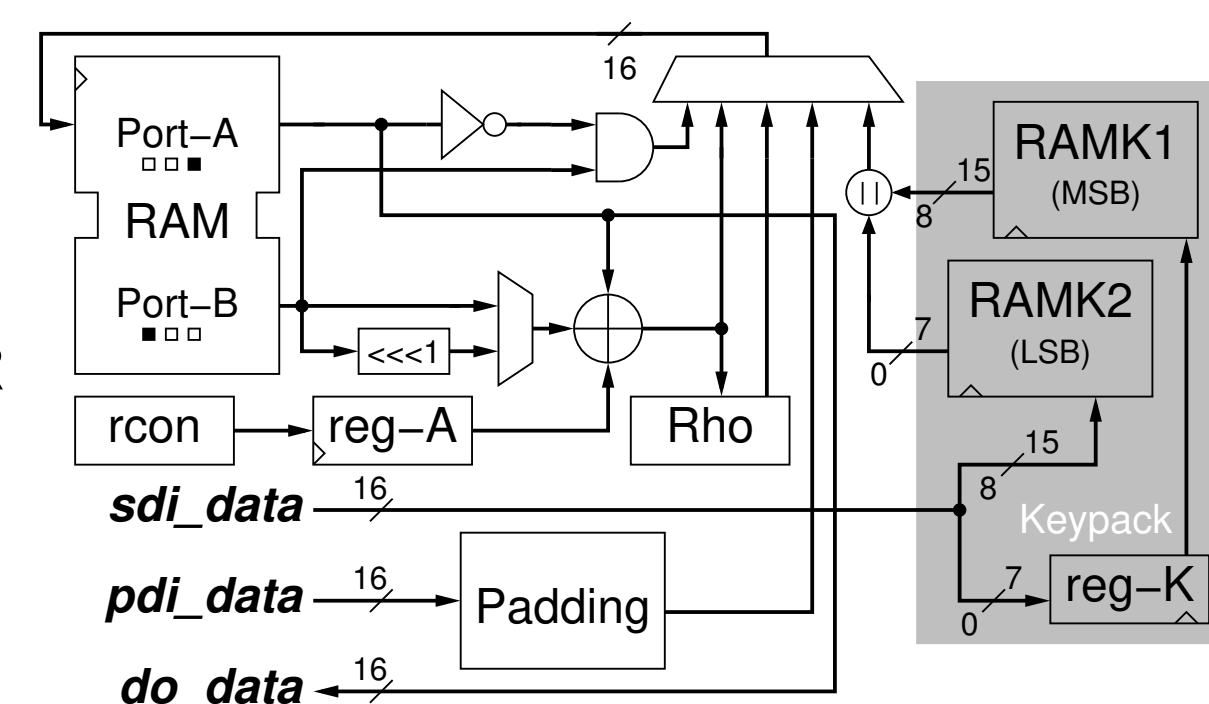
- Determine overhead of CAESAR LW package.
  - KETJE-SR implementation with integrated support of CAESAR API.
  - KETJE-SR implementation using new CAESAR lightweight development package.
- Determine overhead of CAESAR LW package vs HS package.
  - Implementation of ASCON using CAESAR LW package.
  - Using existing ASCON HS implementation.

## KETJE-SR

- Ketje [3] is based on round reduced Keccak- $f$  called MonkeyWrap.
- Has four variants Ketje-Jr, Ketje-Sr, Ketje-Minor, and Ketje-Major which use Keccak- $p^*[200]$ , Keccak- $p^*[400]$ , Keccak- $p^*[800]$ , and Keccak- $p^*[1600]$  respectively.
- Each round of Keccak- $p^*$  consists of five steps  $\theta, \rho, \pi, \chi,$  and  $\iota$ .
- In  $\theta$  step, each bit in the state is Xored with two other bits from two different columns.
- The state bits are rotated for each lane using one of the 25 different offsets in  $\rho$  step
- Lanes are rearranged in  $\pi$ , integer multiplication in  $\chi$ .
- The last step is  $\iota$ , where a round constant is added.

## KETJE-SR Datapath

- We implemented a Ketje-Sr using a 16-bit datapath and interface.
- Datapath is the same for integrated CAESAR API support and using CAESAR LW package.
- State is stored in a dual-port memory (RAM) with one read/write and one read-only ports.
- To reduce the complexity of padding for key, the key size is fixed to 128-bits.
- Two memory units (RAMK1, and RAMK2) with pre-stored values and a register (reg-K) for key storage and KeyPack operations.
- Padding for message and AD using multiplexers.
- Needs 160 clock cycles to process a 32-bit block.
- $TP = \frac{32}{160} \cdot F$

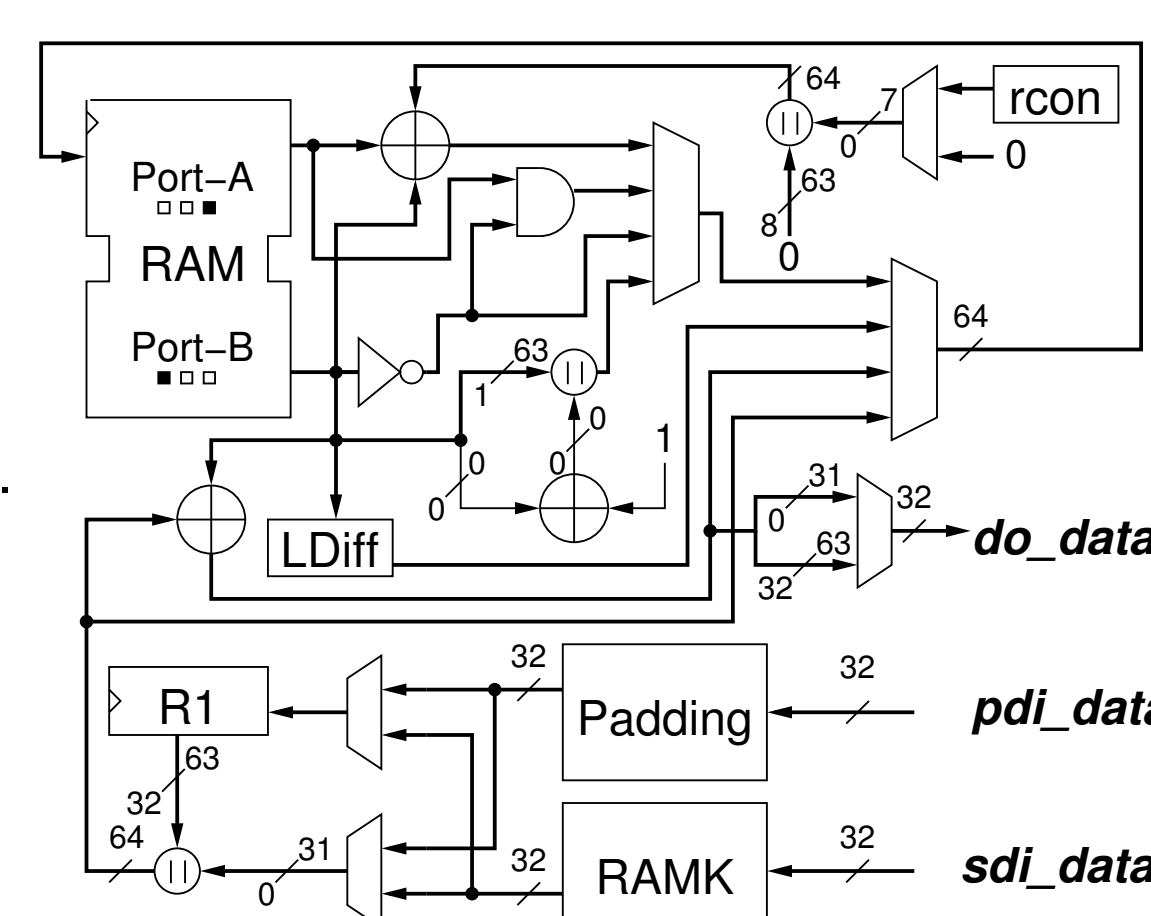


## ASCON

- ASCON[4] is a permutation based authenticated cipher.
- ASCON-128, and ASCON-128a - two variants with block sizes of 64 and 128 respectively.
- In each round, three sub transformations called constant-addition, substitution, and linear diffusion are applied.
- Constant-addition is the first operation in the round, where a constant is added to one of the five words. Twelve round constants are used.
- Substitution layer uses 5x5 S-boxes.
- Linear diffusion layer for diffusion across each of the five 64-bit words using circular shifts and an XOR.

## ASCON Datapath

- A 64-bit datapath is used in this design and a 32-bit interface.
- The state is stored in a dual-port RAM.
- Key is stored in a RAM.
- The substitution layer is implemented in a bit-slice fashion.
- Due to the contention on the RAM ports, 18 operations take 33 clock cycles.
- The round constants are generated using two 4 bit registers and adders.
- Two 5-to-1 multiplexers are used to perform circular shifts in linear diffusion step (LDiff).
- $TP = \frac{128}{33 \cdot 8} \cdot f$



## Case Study 1: Integrated vs. LW Package

Implementation Results on Xilinx Spartan-6 FPGA using ATHENA [5]

Design	Slices	LUTs	Flip Flops	Freq [MHz]	TP [Mbps]	TP/Area [Mbps/slice]
KETJE-SR <sup>1</sup>	140	436	98	122.4	24.48	0.17
KETJE-SR <sup>2</sup>	155	450	114	120.1	24.03	0.16
Overhead	15	14	16			
ASCON-128 <sup>2</sup>	231	684	268	216.0	60.10	0.26
ASCON-128a <sup>2</sup>	231	684	268	216.0	119.16	0.52
Joltik [6] <sup>3</sup>	168	534	381	200.0	426.67	2.54
ACORN [6] <sup>4</sup>	202	540	383	231.6	1,852.80	9.17

<sup>1</sup> ⇒ Dedicated CAESAR API; <sup>2</sup> ⇒ CAESAR LW Package; <sup>3</sup> ⇒ Not compliant to CAESAR API; <sup>4</sup> ⇒ Tweaked CAESAR HS Package

- Using CAESAR LW Package leads to a small area increase.
  - Three separate counters for *sdi*, *pdi* and *do* buses are used for simplicity and parallel operation.
  - Counter for *sdi* can be dropped if cipher core provides *end\_of\_key* signal.
- Comparing our designs which each other and other reported implementations.
  - ASCON-128a has 4 times the TP while consuming only 50% more slices.
  - Joltik implementation is not compliant with CAESAR API but performs significantly better.
  - ACORN is based on a stream cipher which typically perform very well in lightweight implementations.

## Case Study 2: Area Overhead HS vs. LW Pkg.

Area Overhead High-Speed (HS) vs. LightWeight (LW) Packages  
Implementation Results on Xilinx Spartan-6 FPGA using ATHENA [5]

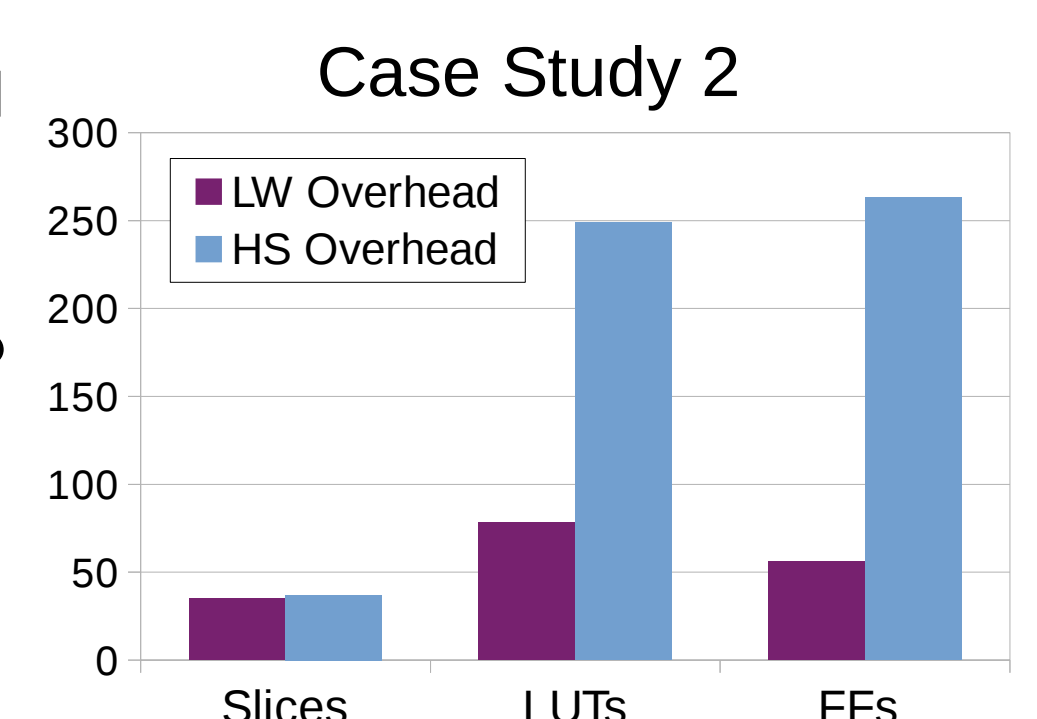
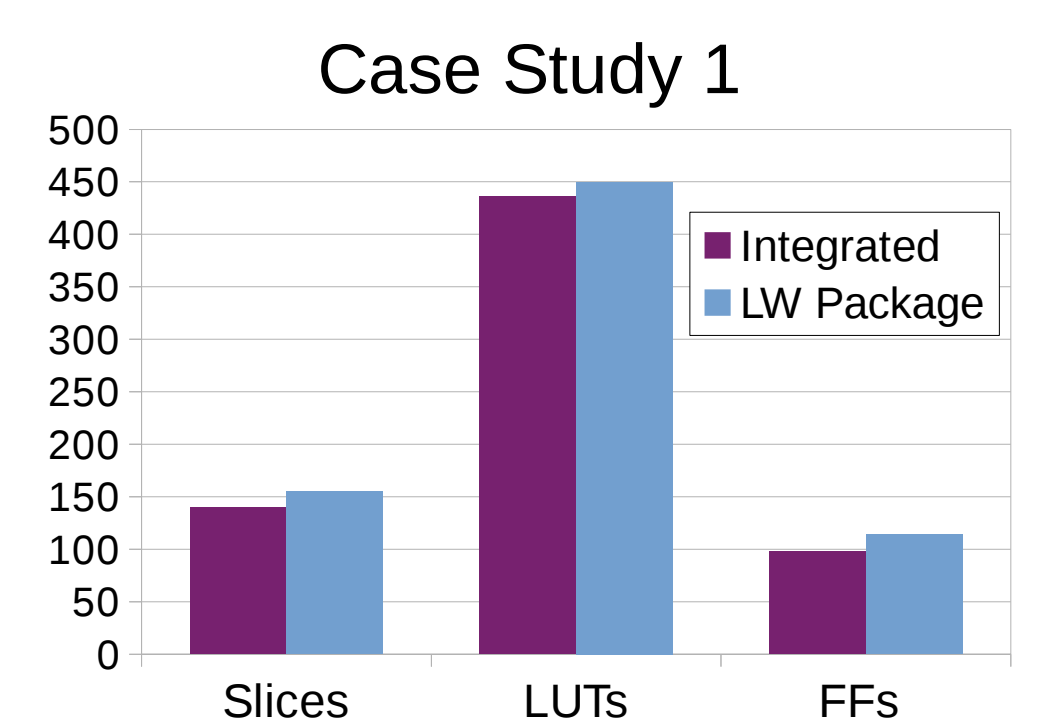
Design	Top-level	Slices	LUTs	Filp-Flops
LW ASCON	AEAD <sup>1</sup>	231	684	268
	CipherCore	196	606	212
Overhead		35	78	56
HS ASCON [6]	AEAD <sup>2</sup>	416	1282	792
	CipherCore	379	1033	529
Overhead		37	249	263

<sup>1</sup> ⇒ CAESAR LW Package; <sup>2</sup> ⇒ CAESAR HS Package

- Adding CAESAR API support to
  - LW core using LW Package leads to a small area increase,
  - HS core using HS Package leads to a larger area increase.

## Conclusions

- The graph shows implementation results of KETJE-SR on Spartan-6.
  - Using the CAESAR LW Package leads to a small area increase over integrated designs.
  - This small increase can easily be mitigated.
- The graph shows the overhead incurred for implementations of ASCON on Spartan-6.
  - CAESAR HS Package leads to a much larger area increase than the LW Package as it expands the data and key buses to the full block size.
- CAESAR LW Package allows for bus widths of 8 and 16 bits, which are not currently supported by CAESAR HS Package.
- The CAESAR LW-Package reduces the design time for LW implementations.
- The CAESAR LW Package will be included in the next release of the *Development Package for the CAESAR Hardware API*.
- The usage will be documented in the next release of the *Implementer's Guide to the CAESAR Hardware API*.



## Acknowledgment

The CAESAR Lightweight API Support Package was developed in collaboration with Fabrizio De Santis and Michael Tempelmeier from



## References

- E. Homsirikamol, W. Diehl, A. Ferozpur, F. Farahmand, P. Yalla, J.-P. Kaps, and K. Gaj, "CAESAR hardware API," Cryptology ePrint Archive, Report 2016/626, 2016, <http://eprint.iacr.org/2016/626>.
- "Development package for the CAESAR hardware API v1.2," [https://cryptography.gmu.edu/athena/AEAD/GMU\\_AEAD\\_HW\\_API\\_v1\\_2.zip](https://cryptography.gmu.edu/athena/AEAD/GMU_AEAD_HW_API_v1_2.zip), accessed: 2017-06-30.
- G. Bertoni, J. Daemen, M. Peeters, G. Van Assche, and R. Van Keer, "CAESAR submission:Ketje v2," Submission to CAESAR (Round3), September 2016, <https://competitions.cr.yt.to/round3/ketjev2.pdf>.
- C. Dobraunig, M. Eichlseder, F. Mendel, and M. Schlaffer, "ASCON v1.2," Submission to CAESAR (Round3), September 2016.
- K. Gaj, J.-P. Kaps, V. Amirineni, M. Rogawski, E. Homsirikamol, and B. Y. Brewster, "ATHENA - automated tool for hardware evaluation: Toward fair and comprehensive benchmarking of cryptographic hardware using FPGAs," in 20th International Conference on Field Programmable Logic and Applications - FPL 2010. IEEE, 2010, pp. 414-421, winner of the FPL Community Award.
- "ATHENA database of FPGA results for authenticated ciphers," [https://cryptography.gmu.edu/athena/db/fpga\\_auth\\_cipher/table\\_view](https://cryptography.gmu.edu/athena/db/fpga_auth_cipher/table_view), accessed: 2017-07-30.